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- ▶ **Sterilization**
  - ▶ **A validated process used to completely eliminate or destroy all forms of microbial life — including bacteria, fungi, spores, and viruses — from a product, surface, or environment.**
  - ▶ Sterilization results in a product that meets a **Sterility Assurance Level (SAL)**, typically  $10^{-6}$ , meaning the probability of one non-sterile unit is  $\leq 1$  in 1,000,000.
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▶ **Aseptic Manufacturing**

▶ **A controlled manufacturing process in which sterile components (products, containers, closures) are assembled and filled in an environment that maintains sterility throughout the entire operation.**

▶ It relies on:

▶ Sterile materials

▶ Sterile equipment


▶ HEPA-filtered air

▶ Controlled cleanroom behavior

▶ Continuous environmental monitoring

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- ▶ **Aseptic Technique**
  - ▶ **A set of practices performed in a highly controlled manner to prevent contamination by microorganisms during handling, processing, and filling of sterile products.**
  - ▶ Includes: proper gowning, restricted movements, disinfection, material transfer procedures, etc.
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# Counting, Detecting and identifying microorganisms

Chapter 15&18

# Key Facts

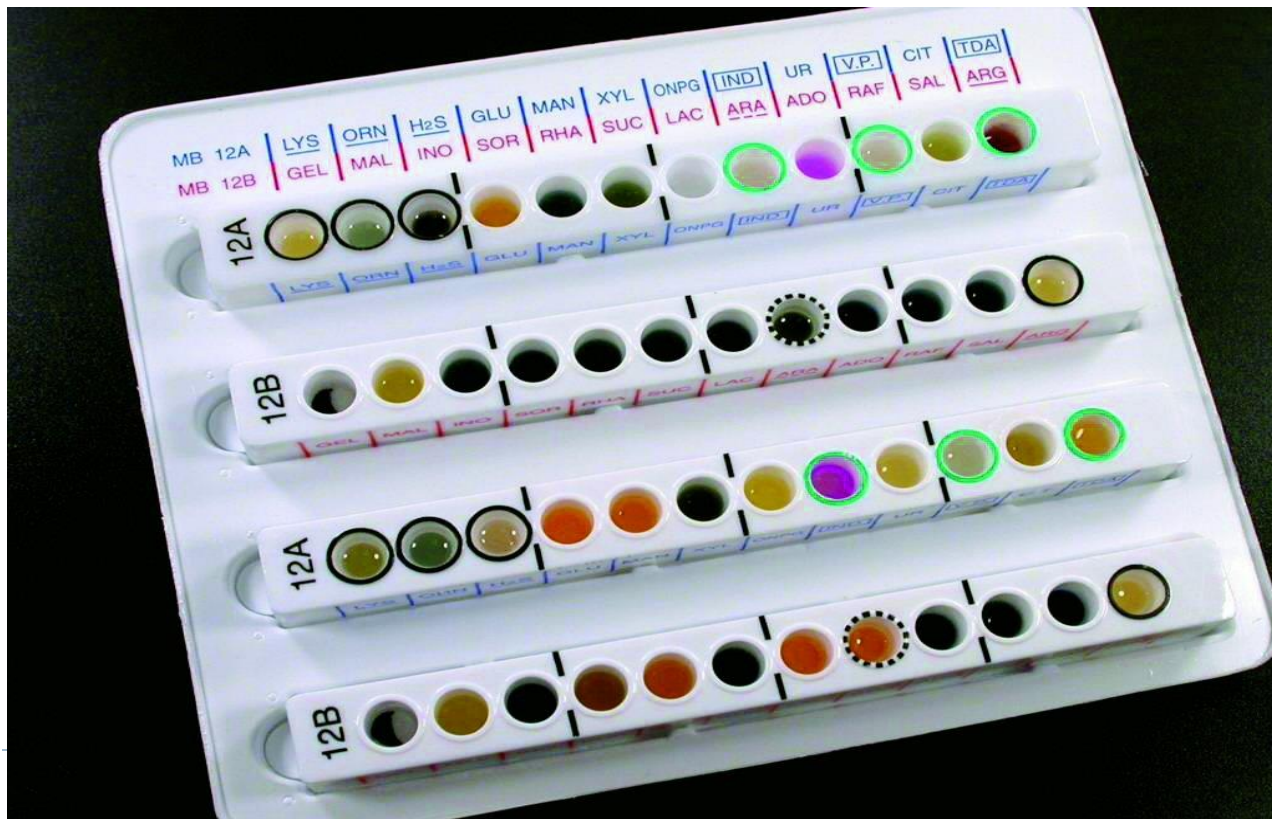
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- ▶ **Bioburden**: the number and type of microorganisms (MO) present in or on a pharmaceutical raw material, medicine, or medical device
- ▶ **Pharmacopeias describe procedures for:**
  - Procedures for counting microorganisms
  - Procedures for confirming the absence of named objectionable MO
  - Specifications for the max permitted levels of bacteria and fungi for the different categories of nonsterile medicine
  - Requirements for the absence of one or more of the major objectionable MO: E.coli, Salmonella species, Staph aureus, Pseudomonas aeruginosa, and Candida albicans



# Key Facts

- ▶ Commercially available test kits and automated instruments are available for identifying different categories of bacteria and yeasts



# Key Facts

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▶ **Objectionable:**

- Because it is a pathogen so its presence in a medicine may cause an infection (E.coli & Staph aureus are pathogens → health hazards)
- Its presence may be indicative of poor-quality raw materials or poor manufacturing procedures

**Gelatin: test for absence of E. coli and Salmonella**



# Bioburden

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- ▶ Determination of bioburden quantitatively: to count the organisms present → Total Viable Count (TVC)
- ▶ Bioburden test → the most common microbiological test undertaken in the pharmaceutical industry:
  - Raw material (including water)
  - Finished products
  - Various stages during manufacturing process



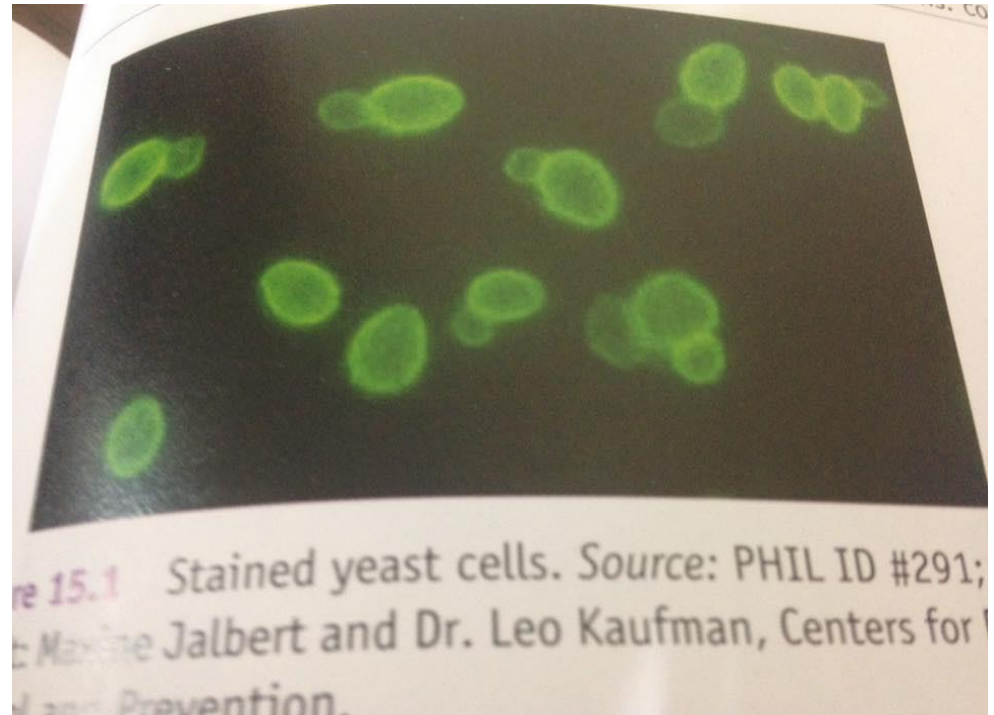
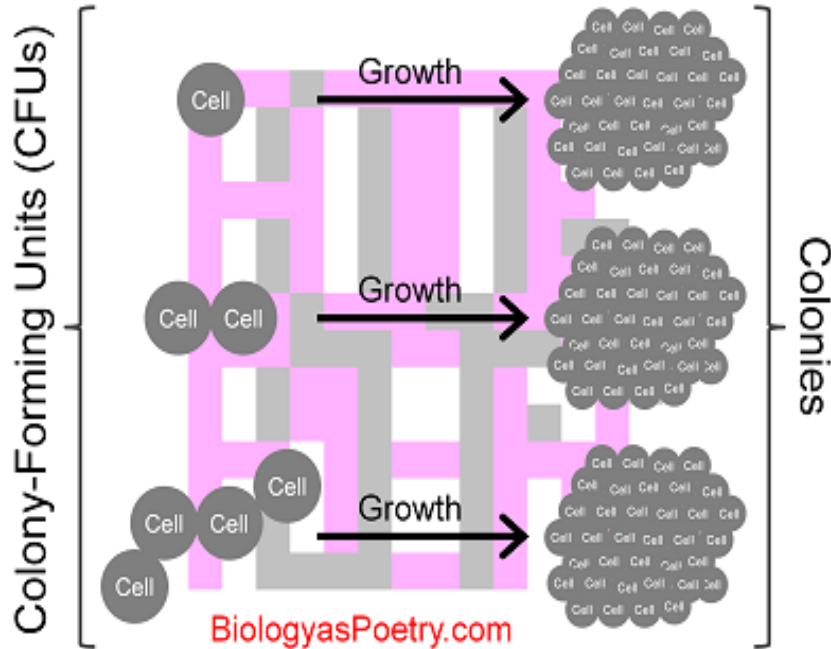
# Traditional counting methods:

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- ▶ Place a sample of the material to be tested onto or into gelled culture medium in a petri dish (plate) and counting the visible colonies that arise after incubation
- ▶ A single colony may develop from an individual cell or from a group of cells attached or clumped together → count is expressed as colony forming unit (CFU) and not cells per ml or gram
- ▶ USP states that petri dishes containing between 25-250 colonies produce reliable bioburden results
- ▶ High Bioburden materials:
  - Mined minerals (talc, kaolin, bentonite)
  - Vegetable origin (starches, gums, thickening agents)
  - Animal origin (gelatin)



# Traditional counting methods



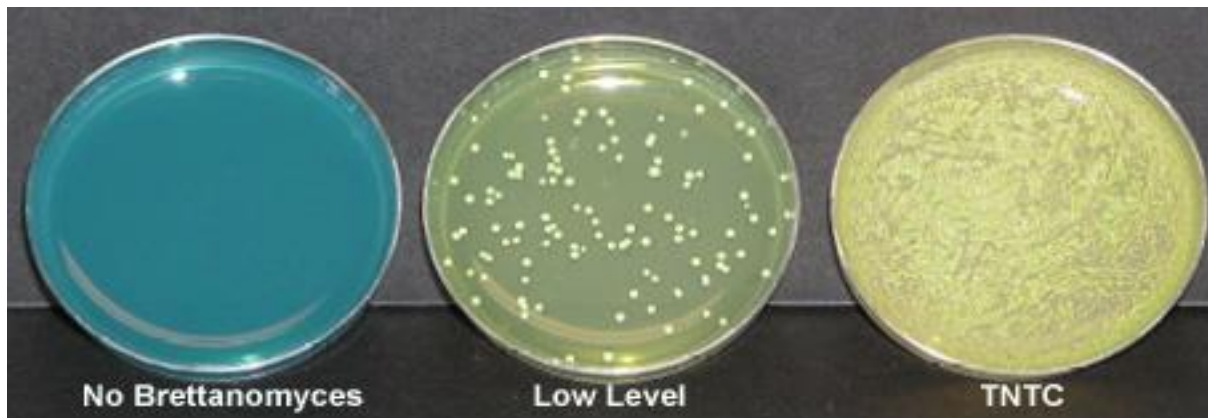
# Traditional counting methods

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- ▶ Dilution is necessary for material with a high bioburden, why?

to achieve a countable number of colonies on the plate

Perform a series of dilution (tenfold increments)



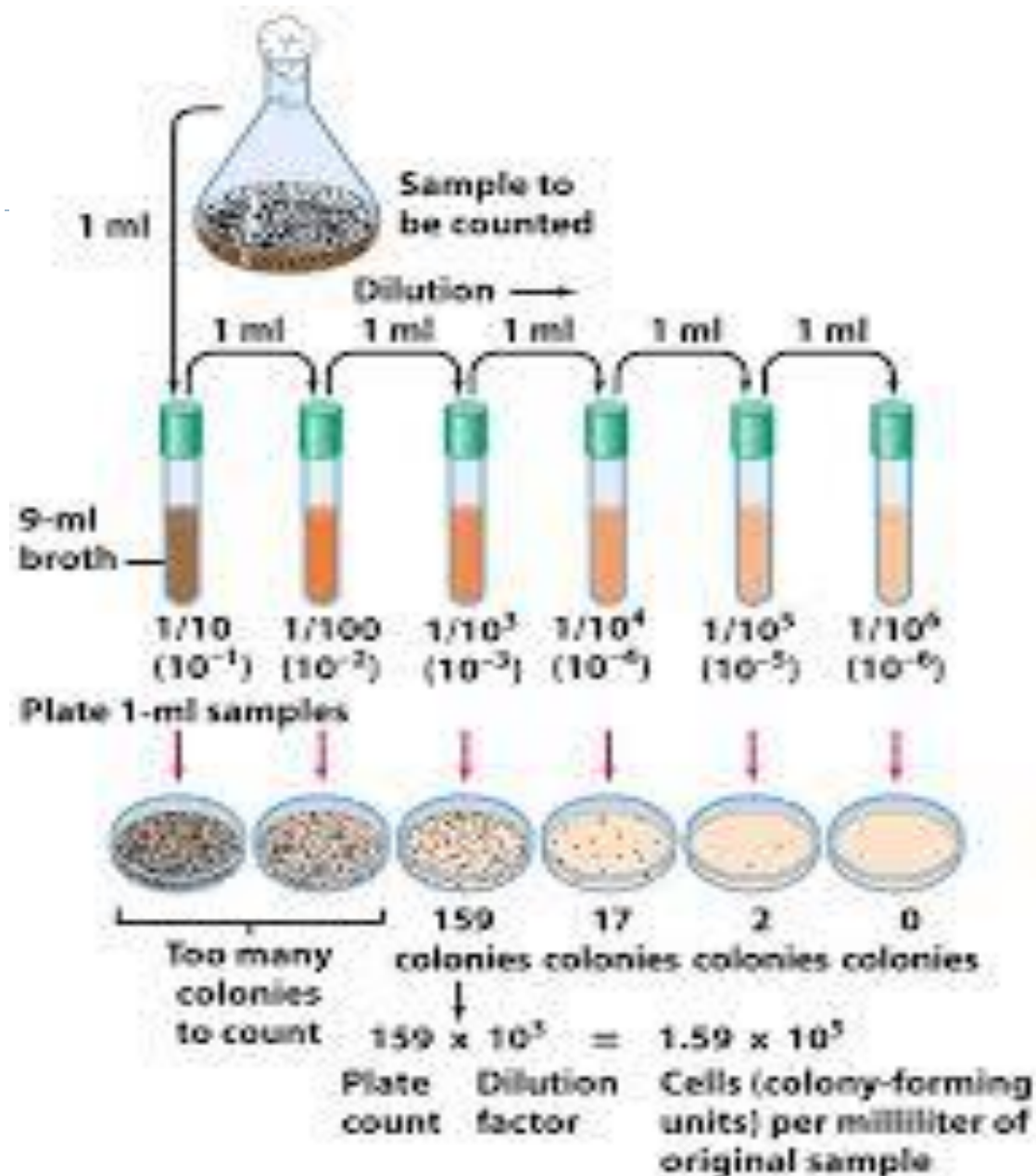


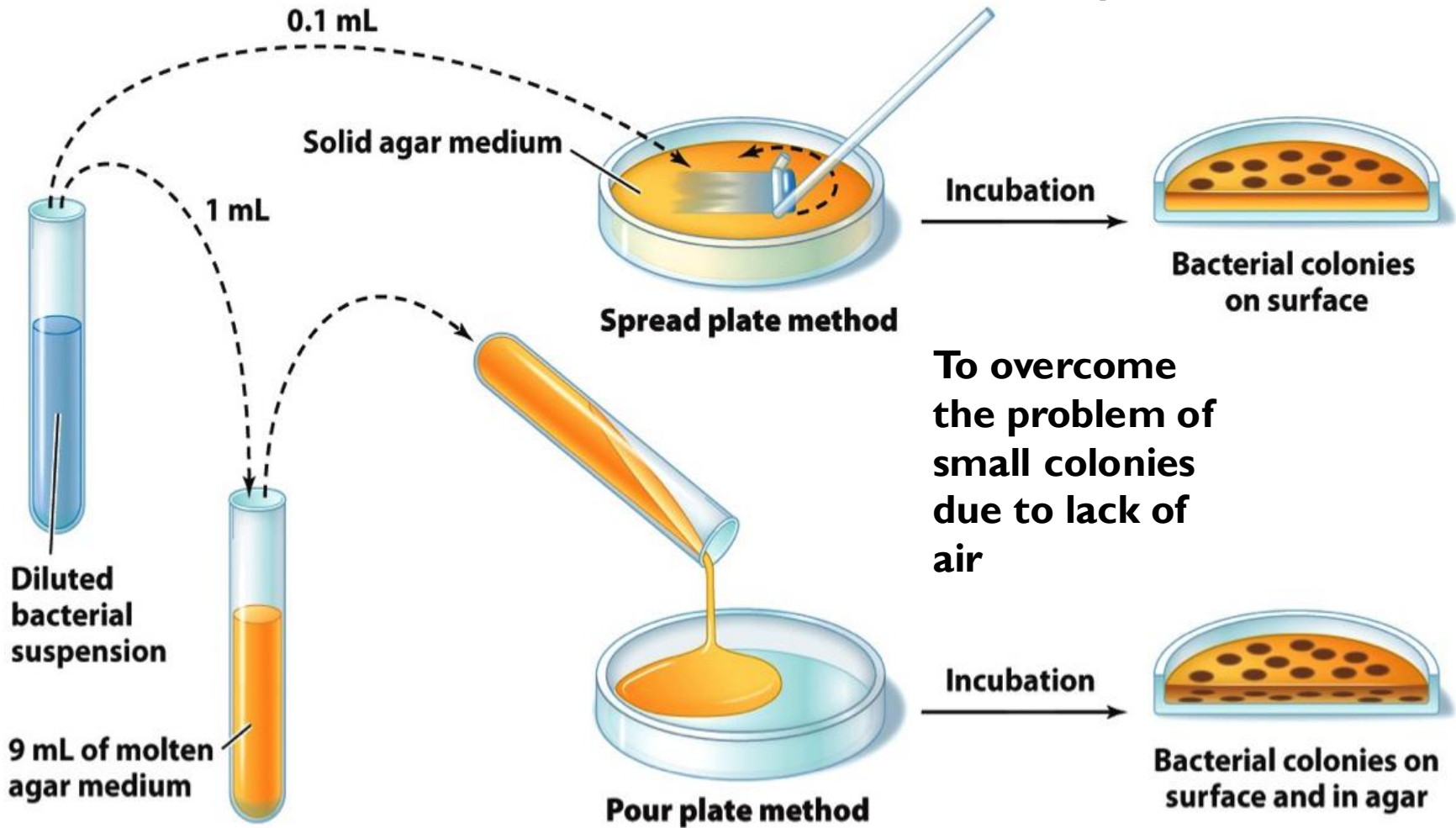
Figure 5-11 Brock Biology of Microorganisms 11/e  
 © 2006 Pearson Prentice Hall, Inc.

# Traditional counting methods:

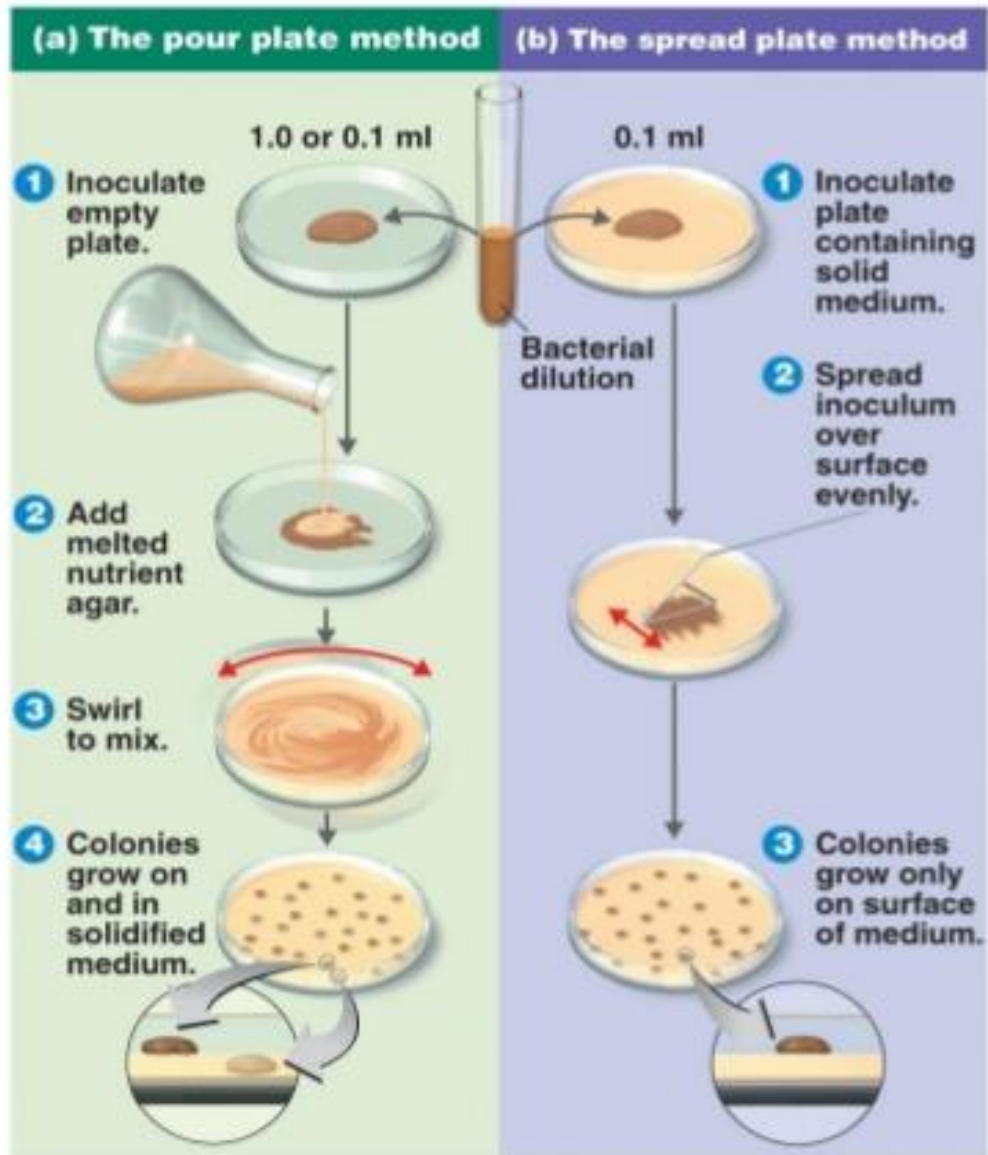
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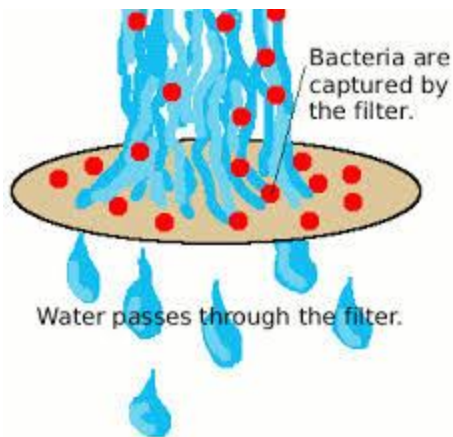
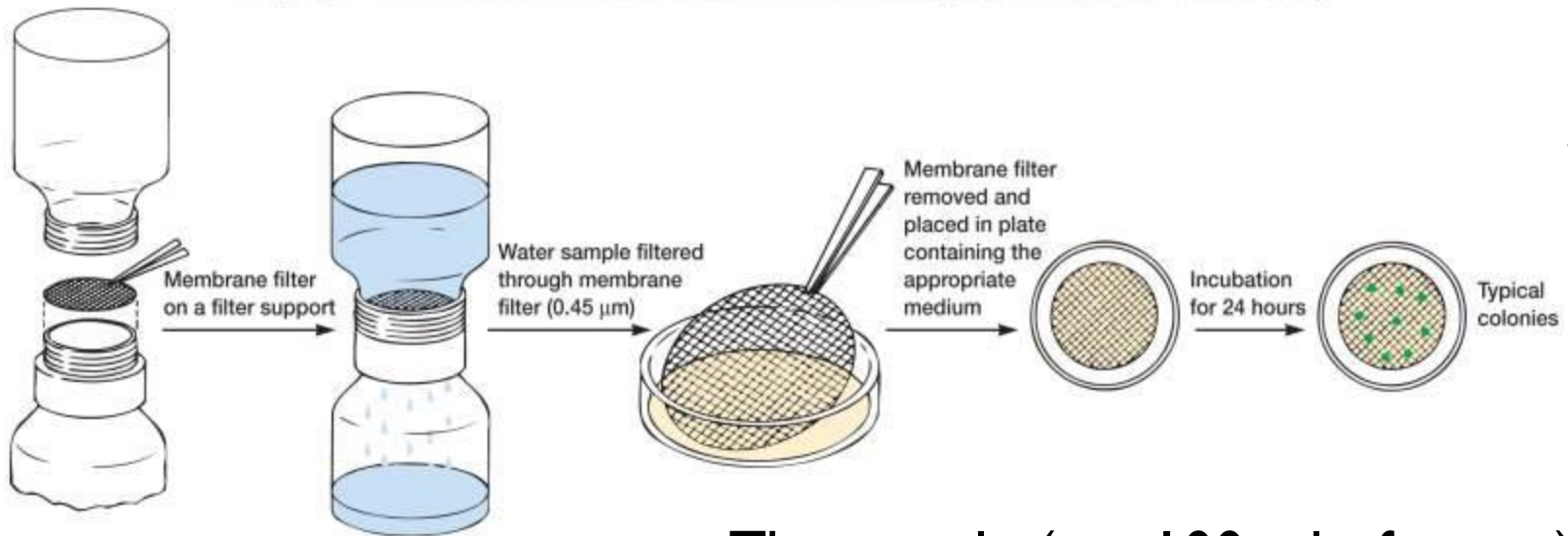
1. Pour plates (most popular)
2. Spread plates
3. Membrane filter method
4. Most probable number method





- Plating serial dilutions of the specimen
- **Pour plate method**
- **Spread plate method**





- The sample (e.g. 100 ml of water) is passed under vacuum through a sterile filter membrane with pore size that is small to retain all contaminating MO on its surface (usually 0.45  $\mu\text{m}$ )

# Membrane filter method

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▶ Advantages:

- For low bioburden material like Purified water EP → required to have no more than 100 CFU/ml
- Can be used to determine concentration of organisms in oils or ointments (after dispersing it in oil)
- The best method if the sample is likely to contain antimicrobial chemicals (preservatives)

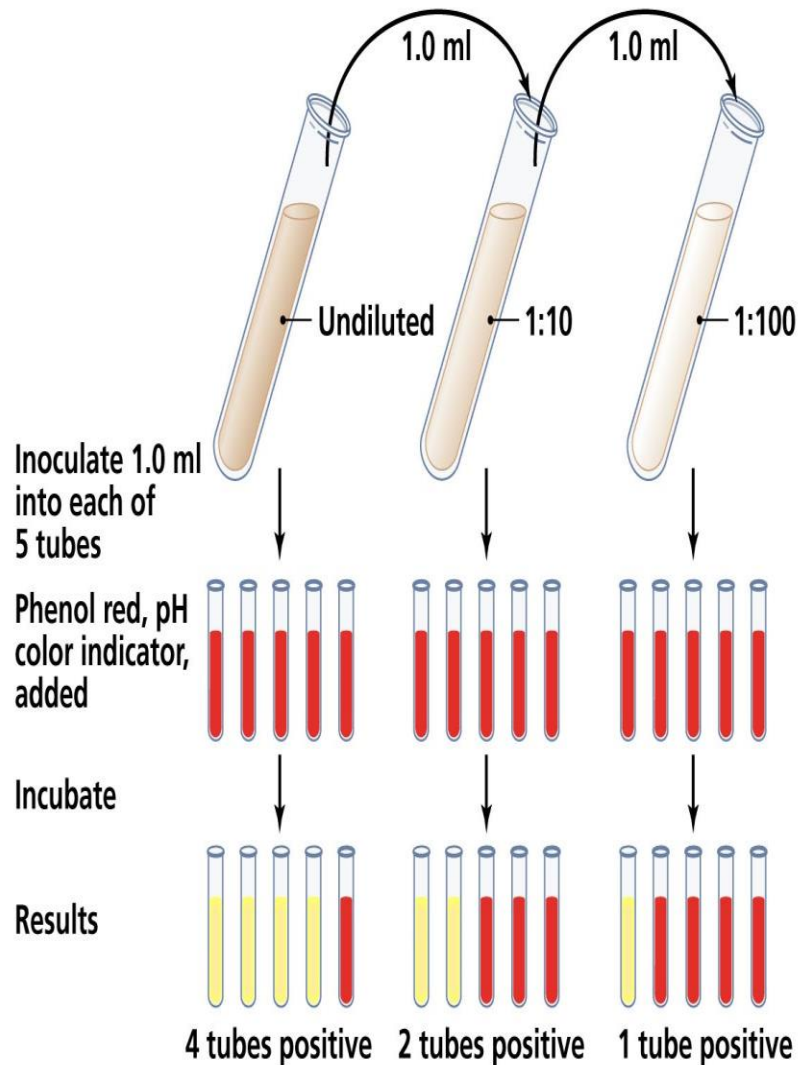


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<b>Antimicrobial agent</b>	<b>inactivator</b>
Quaternary ammonium compounds, parabens and chlorhexidine	Lecithin with or without Polysorbate (Tween) 80
Thimerosal and other mercurials	Sodium thioglycolate
Beta-Lactam antibiotics	Beta-lactamase
Phenols, alcohols and weak acid preservatives	Dilution alone



MPN is used when the sample contains insoluble material that would interfere with plate counts as in herbal products



## INDIRECT MEASUREMENTS: MPN

- Multiple Tube Fermentation Test as measured in MPN or Most probable Number
- Count positive tubes and compare to statistical MPN table.

Combination of Positives	MPN Index/ 100 ml	95% Confidence Limits	
		Lower	Upper
4-2-0	22	9	56
4-2-1	26	12	65
4-3-0	27	12	67
4-3-1	33	15	77
4-4-0	34	16	80
5-0-0	23	9	86
5-0-1	30	10	110
5-0-2	40	20	140
5-1-0	30	10	120
5-1-1	50	20	150
5-1-2	60	30	180
5-2-0	50	20	170
5-2-1	70	30	210
5-2-2	90	40	250
5-3-0	80	30	250
5-3-1	110	40	300
5-3-2	140	60	360

# Calculation of concentration of MOs in a sample

Table 15.3 Specimen results from a viable count.

Dilution	Dilution factor	Colony count 1	Colony count 2	Colony count 3
A	$10^1$	TNTC <sup>a</sup>	TNTC	TNTC
B	$10^2$	TNTC	TNTC	TNTC
C	$10^3$	453	521	419
D	$10^4$	85	79	81
E	$10^5$	7	6	8
F	$10^6$	0	1	0

<sup>a</sup>TNTC = too numerous to count

**Viable count of original sample=**

$$\frac{\text{Mean colony count}}{\text{Volume of dilution used}} \times \text{dilution factor}$$

# Detection of objectionable MOs

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- ▶ Non sterile dosage forms may contain some MOs
- ▶ The quality of non sterile products is controlled by pharmacopeia in two ways:
  1. Limit on total number or concentration of MO that may be present
  2. Particular objectionable organisms must be absent in a specified weight of material:  
e.g. EP quality gelatin → Salmonella should be absent in a 10g sample and E.coli absent in 1 g sample



# Detection of objectionable MOs

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1. Dissolution or dispersal of the sample in a suitable liquid culture medium and , where necessary, inactivation of any substances that might inhibit the growth of the organism under test
2. Enrichment: increasing the relative concentration of the test organism by growing in a liquid medium that inhibit other contaminants but allows free multiplication of the organism of interest
3. Streaking liquid cultures from step 2 onto **selective agar media** that usually permit easy recognition of any colonies of the test organism that might arise
4. The use of specific biochemical or immunological confirmatory tests (test kits)



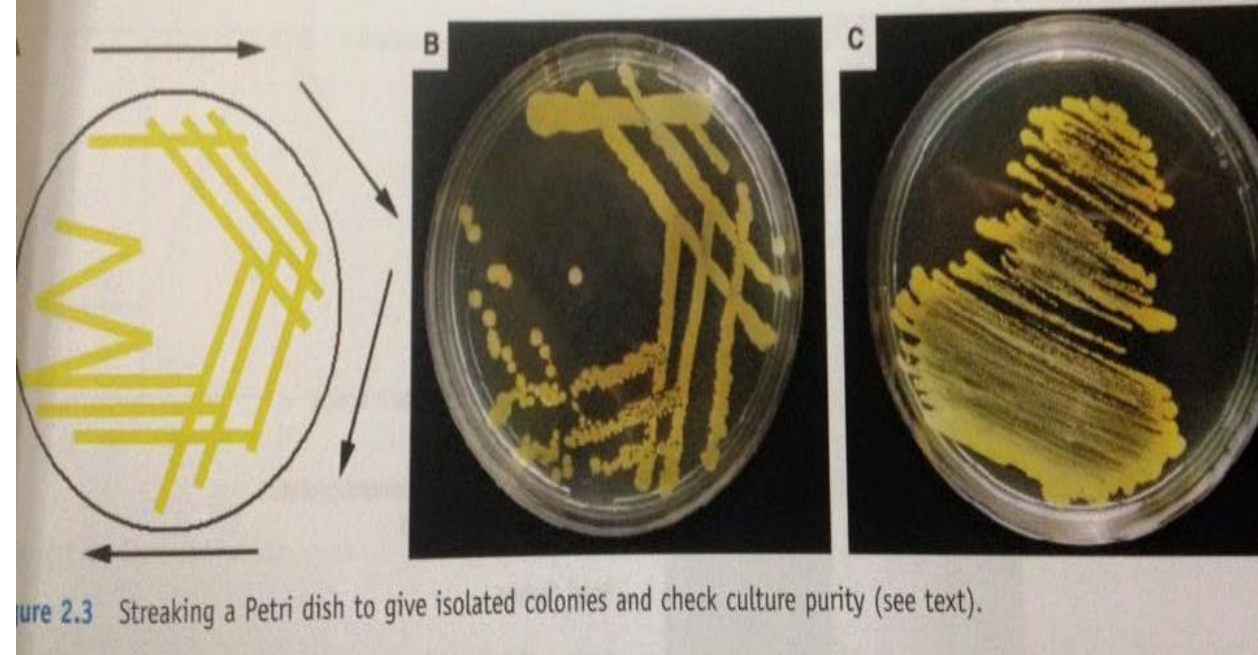
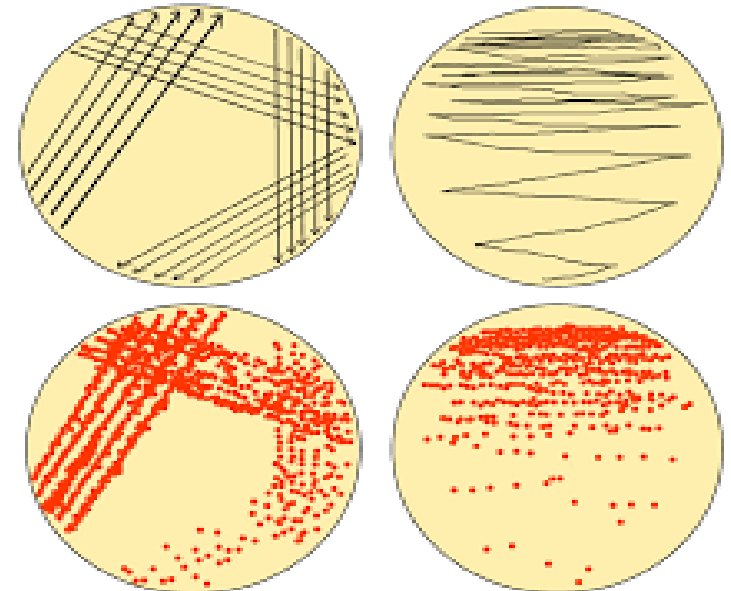
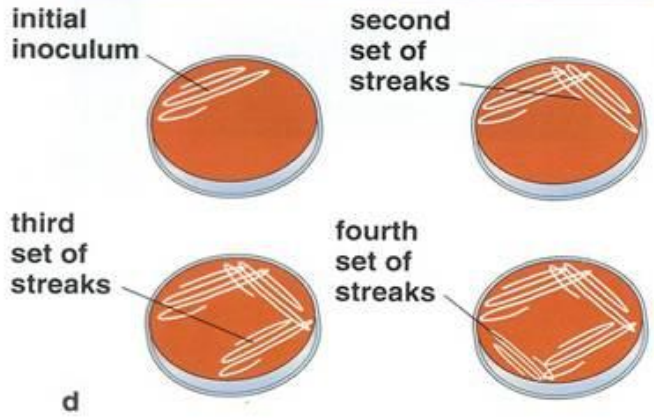
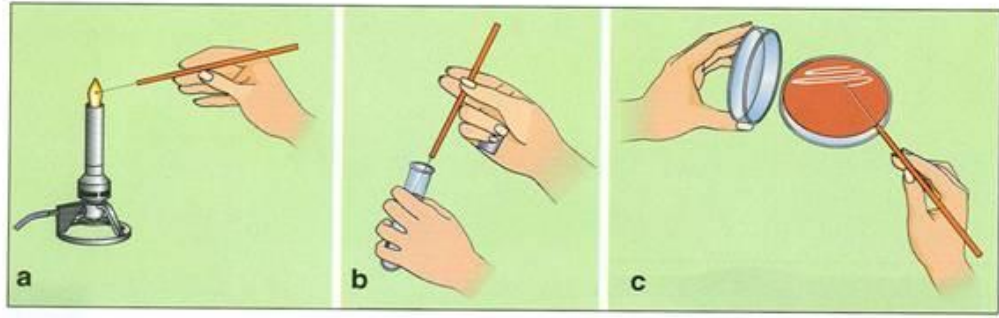
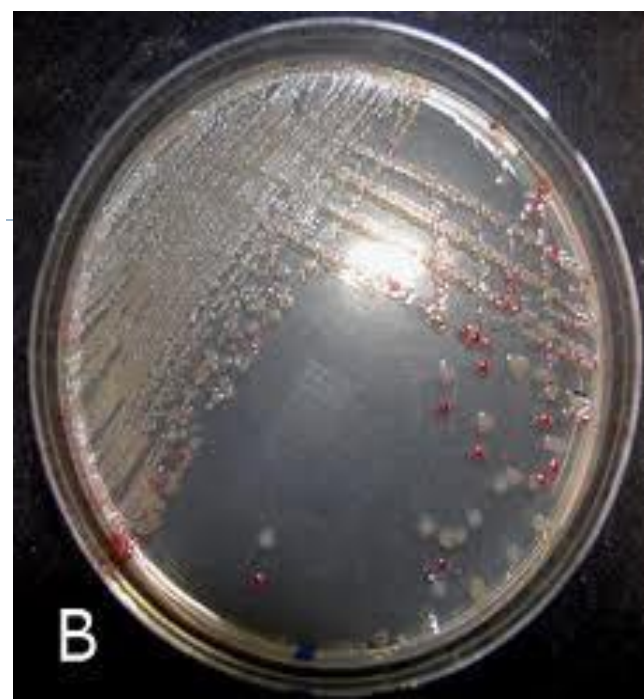


Figure 2.3 Streaking a Petri dish to give isolated colonies and check culture purity (see text).



## Detection of objectionable MOs

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- ▶ Selective agar media recommended in the pharmacopeias:
- ▶ MacConkey's agar for *E. coli*
- ▶ XLD agar for *Salmonella* species
- ▶ Mannitol salt agar for *Staphylococcus aureus*
- ▶ Cetrimide agar for *Pseudomonas aeruginosa*

Students are required to read about each type of agar mentioned



## General bacterial media [ edit ]

- Bile esculin agar (BEA)**

BEA is used for the isolation of *Enterococcus*, as well as *Group D Streptococcus species*

- CLED agar**

**Cysteine, lactose**, electrolyte-deficient agar (CLED) agar is used to isolate and differentiate urinary tract bacteria, since it inhibits *Proteus* species swarming and can differentiate between lactose fermenters and nonfermenters.

- Granada medium**

Granada medium is used to isolate and differentiate Group B streptococcus (GBS), *Streptococcus agalactiae* from clinical samples. GBS grows in granada medium as red colonies and most of accompanying bacteria are inhibited.

- Hektoen enteric agar (HEA)**

HE agar is designed to isolate and recover fecal bacteria belonging to the *Enterobacteriaceae* family. It is particularly useful in isolating *Salmonella* and *Shigella*.

- Lysogeny broth (LB)**

- MacConkey agar (MAC)**

MAC is a selective and differential medium used to differentiate between *Gram-negative* bacteria while inhibiting the growth of *Gram-positive* bacteria. The addition of bile salts and *crystal violet* to the agar inhibits the growth of most Gram-positive bacteria, making MacConkey agar selective. Lactose and *neutral red* are added to differentiate the lactose fermenters, which form pink colonies, from lactose nonfermenters that form clear colonies. An alternative medium, *eosin methylene blue* (EMB) serves a similar purpose.

- Mannitol salt agar (MSA)**

MSA is also a selective and differential medium. The *mannitol* indicates organisms that ferment mannitol: mannitol fermentation produces *lactic acid*, lowering the pH and turning the plate yellow. The salt is to select for *halophiles*; organisms that cannot withstand a high salt content are unable to grow well.

- Mueller-Hinton agar (MHA)**

MHA contains beef infusion, *peptone*, and *starch*, and is used primarily for antibiotic susceptibility testing. It can be in a form of *blood agar*.

- Nutrient agar**

Nutrient agar is usually used for growth of nonfastidious organisms and observation of pigment production. It is safe to use in school science laboratories because it does not selectively grow *pathogenic* bacteria.

- Önöz agar**

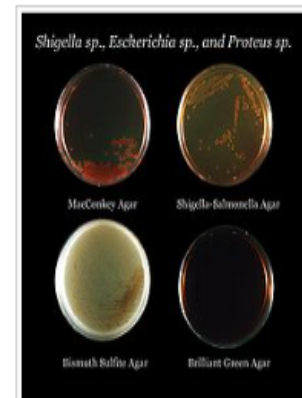
Önöz agar allows more rapid bacteriological diagnosis, as *Salmonella* and *Shigella* colonies can be clearly and reliably differentiated from other *Enterobacteriaceae*. The yields of *Salmonella* from stool samples obtained, when using this medium, are higher than those obtained with LEIFSON agar or *Salmonella-Shigella* agar (SSA).

- Phenylethyl alcohol agar (PEA)**

PEA selects for *Staphylococcus* species while inhibiting Gram-negative bacilli (e.g., *Escherichia coli*, *Shigella*, *Proteus*, etc.).



Hemolyses of *Streptococcus spp.* (left)  $\alpha$ -hemolysis (*S. mitis*); (middle)  $\beta$ -hemolysis (*S. pyogenes*); (right)  $\gamma$ -hemolysis (= nonhemolytic, *S. salivarius*)



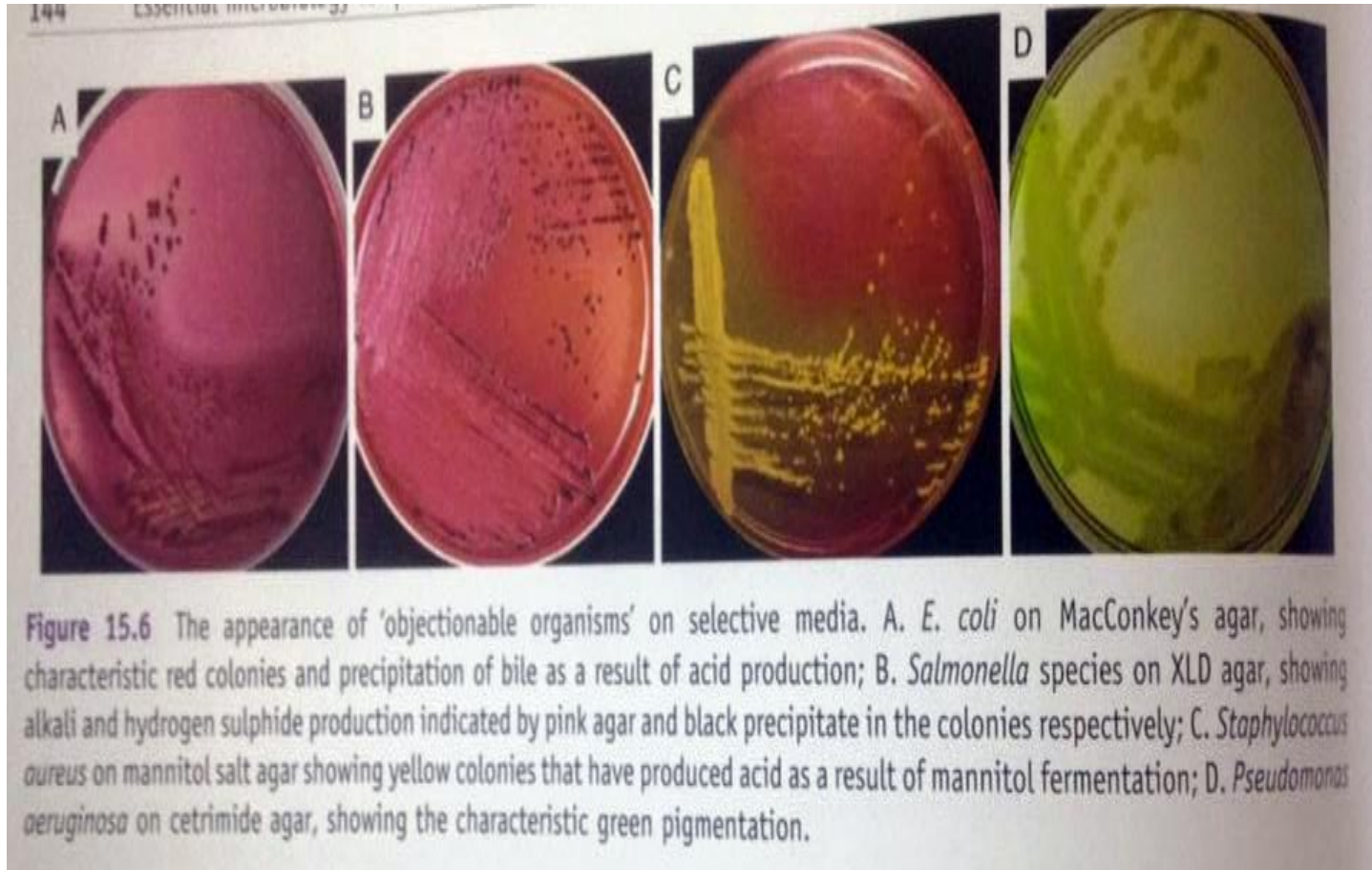
Four types of agar plate demonstrating differential growth depending on bacterial *metabolism*



Fungi (ascomycetes) growing in *axenic cultures*, each of which is a

# Detection of objectionable MOs

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# Automated bioburden determinations

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- ▶ Bases of the methods
- ▶ Limited application
- ▶ Look for pg 144



# Bioburden specifications in the pharmacopeias

**Table 15.4** Quality criteria for nonsterile medicines.

Route of administration <sup>a</sup>	Maximum total aerobic microbial count CFU/g or ml	Maximum total yeast and mould count CFU/g or ml	Specified microorganisms absent in 1 g or 1 ml
Nonaqueous oral products	$10^3$	$10^2$	Absence of <i>E. coli</i>
Aqueous oral products	$10^2$	$10^1$	Absence of <i>E. coli</i>
Rectal products	$10^3$	$10^2$	
Oral mucosal, gingival, cutaneous, nasal and ear products	$10^2$	$10^1$	Absence of <i>Staph. aureus</i> and <i>Ps. aeruginosa</i>
Vaginal products	$10^2$	$10^1$	Absence of <i>Staph. aureus</i> , <i>Ps. aeruginosa</i> and <i>Candida albicans</i>
Inhalation products (excluding nebulized liquids)	$10^2$	$10^1$	Absence of <i>Staph. aureus</i> , <i>Ps. aeruginosa</i> and bile-tolerant Gram-negative bacteria

